

Secretary of State Kemp Publishes Proposed Securities Rules

Atlanta, October 13, 2011 - Georgia Secretary of State and Commissioner of Securities Brian P. Kemp today published proposed rules pursuant to the Georgia Uniform Securities Act of 2008. The proposed rules comprise six chapters, including: 1) General Provisions; 2) Securities Registration Exemptions; 3) Registration of Securities; 4) Investment Advisers and Representatives; 5) Broker-Dealers and Broker-Dealer Agents; and 6) Rules for Administrative Hearings. The proposed rules are available for review on this web site by clicking the following link: http://www.sos.ga.gov/securities/rules_notice.html.

All written comments regarding the proposed adoption of these rules are due no later than 5:00 p.m. on November 15, 2011. Please see the individual rule notices for specific instructions on submitting written comments.